

**Application No.**

Distributor ARN	Sub-Distributor ARN	Sol ID / Internal Sub-Broker	Employee Code	EUIN	Serial No., Date & Time Stamp
ARN	ARN			E	

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

 First / Sole Applicant /  
Guardian

Second Applicant

Third Applicant

**EXISTING UNIT HOLDER INFORMATION**

Folio No.

Name

Name of scheme

Transaction date         Transaction amount

(Existing unit holders are required to mention the transaction date and corresponding transaction amount for which Trigger Facility has to be activated. In case of any discrepancy in date or amount, trigger facility shall be activated for the units purchased through first purchase / switch in the said scheme.)

I/We have read and understood the contents of the offer document & the instructions for the Trigger Plan. I/We hereby apply for the same and agree to abide by the terms, conditions rules & regulations of the plan.

**TRIGGER OPTIONS (Select any one)**

<b>Value Trigger Amount</b> Investment value exceeds ₹ <input type="text"/>	<b>OR</b>	<b>Appreciation/ Depreciation in %</b> Capital appreciation by <input type="text"/> %
Investment value goes below ₹ <input type="text"/>		Capital depreciation by <input type="text"/> %

**TRIGGER ACTION (Select any one)**

Please tick any one of the following actions to be initiated on encountering the Trigger Option

**A. Redemption**

Full Redemption     Redemption to the extent of capital appreciation      % of Current Value

**OR**
**B. Switch**

Full Switch     Switch-out to the extent of capital appreciation      % of Current Value

To Scheme, Plan and Option

**SIGNATURES (to be signed by all joint holder if the mode of holding is joint)**

First / Sole Applicant / Guardian	Second Applicant	Third Applicant
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**INSTRUCTIONS FOR TRIGGER**

- Minimum investment in the trigger Facility is ₹20000/-, and in multiples of ₹0.01 thereof.
- Trigger Facility will be available only for growth option. In case investor has opted for trigger facility and subsequently switches from growth option to dividend option, the trigger facility will be automatically deactivated.
- Please use separate forms for each trigger option under each folio / scheme / plan. For multiple trigger option under same folio/scheme/plan please use separate form for each trigger option.
- Unit holders are requested to tick only one trigger action. In the event of multiple selections in the same form, the trigger request will be deemed to be invalid and would not be registered.
- For Value Trigger the investment value specified by the unit holder must be in multiples of 1 (whole numbers only no decimals eg: Rs. 20001, Rs.30015) and in case of appreciation/depreciation trigger the percentage specified must be in multiples of 1% only (e.g. 10%, 11%)
- The minimum application amount criteria for switch into transferee schemes will not be applicable.
- All trigger option will be processed at transaction level. Since, redemption is processed on First-in First-out basis, investor having multiple transactions in single folio and opting for trigger facilities will have to select the redemption/switch action at transaction level.
- It is mandatory, for existing investors of the transferor schemes to mention the transaction date and corresponding amount for which trigger has to be activated
- Trigger option is available in below specified schemes only.

**Transferor Schemes:** Kotak Bluechip Fund ● Kotak India EQ Contra Fund ● Kotak Flexicap Fund ● Kotak Equity Opportunities ● Kotak Small Cap Fund ● Kotak Emerging Equity ● Kotak Infrastructure & Economic Reform Fund ● Kotak Gilt Investment ● Kotak Bond ● Kotak Pioneer Fund ● Kotak Focused Equity Fund ● Kotak Balanced Advantage Fund ● Kotak ESG Opportunities Fund ● Kotak Nifty Next 50 Index Fund ● Kotak Nifty 50 Index Fund

**Transferee Schemes:** Kotak Liquid ● Kotak Money Market Fund ● Kotak Savings Fund ● Kotak Low Duration Fund ● Kotak Banking & PSU Debt Fund

- Trigger is not to be conceived as an assurance on part of Kotak Mutual Fund that the investor will manage to receive a particular sum of money/ appreciation/ and/ or fixed % of sum.

Investor's Name

Folio Number

## NOTE

1. Trigger Facility will be a one time facility which will be selected by the investors. On occurrence of trigger and post completion of corresponding action, the trigger facility will be automatically deactivated.
2. NAVS of the schemes are declared at the close of the business day and hence value of the unit holders unit holdings based on the end of the day NAV will be considered as a base for activating the triggers. Accordingly, all the redemptions/switches etc will be done on the day on which the trigger occurs. Applicable NAV of switch in schemes will be applied.
3. All requests for registering or deactivating the trigger facility shall be subject to an advance notice of 10(ten) business days. Investors can deactivate the trigger facility by sending a written request to Kotak / CAMS Investor Service Centres. Trigger Facility shall be applicable subject to exit load, if any, in the transferor schemes.
4. The unitholders will attract short-term capital gain tax incase the trigger happens within 3 years from the date of investment for non-equity scheme and within 1 year for equity schemes.
5. Investors cannot modify a Trigger registration once submitted. Investor must cancel the existing Trigger option and enroll for a fresh Trigger option.
6. Trigger facility is also not available if the folio is under Lien or marked "Frozen" on the advice of I.T authorities/ regulatory authorities/Court or for any other reason.
7. Systematic Withdrawal Plan(SWP)/ Systematic Transfer Plan(STP) facilities will not be available in the scheme/ plan/ option where trigger facility has been opted.